

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. To be assigned

First Inventor David Tseng (et al.)

Title INTRALUMINAL STENT

Express Mail Label No. EL 985026796 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 20 ]  
(preferred arrangement set forth below)
  - Descriptive title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9 ]
5. Oath or Declaration [Total Pages 9 ]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

## ADDRESS TO:

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

Prior application information Examiner Hieu Phan

of prior application No: 09 / 623,347

Group / Art Unit: 3738

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Insert Customer Number 23122

OR

☒ Correspondence address below

Name	Paul F. Prestia					
	RatnerPrestia					
Address	Suite 301, One Westlakes (Berwyn)					
	P.O. Box 980					
City	Valley Forge	State	PA	Zip Code	19482-0980	
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Name (Print/Type)	Paul F. Prestia	Registration No. (Attorney/Agent)	23,031
Signature	<i>Paul F. Prestia</i>	Date	December 29, 2003

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing this form, call 1-800-PTO-9199 and select option 2.

16018 U.S. PTO  
10/747848

122903

# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 914

## Complete if Known

Application Number To be assigned  
Filing Date Herewith  
First Named Inventor David Tseng (et al.)  
Examiner Name Hieu Phan  
Art Unit 3738  
Attorney Docket No. BSI-230US1

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money ☐ Other ☐ None  
Order

☒ Deposit Account:

Deposit  
Account  
Number  
Deposit  
Account  
Name

18-0350

RatnerPrestia

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below  
☒ Credit any overpayments  
☒ Charge any additional fee(s) or any underpayment of fee(s)  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385			Utility filing fee	770
1002	2002	340	170			Design filing fee	
1003	2003	530	265			Plant filing fee	
1004	2004	770	385			Reissue filing fee	
1005	2005	160	80			Provisional filing fee	
SUBTOTAL (1)							(\$ 770)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
28	-20** = 8	X 18	= 144
Independent Claims	3	-3** = 0	X 86 = 0
Multiple Dependent		X	= 0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
1202	2202	18	9			Claims in excess of 20
1201	2201	86	43			Independent claims in excess of 3
1203	2203	290	145			Multiple dependent claim, if not paid
1204	2204	86	43			** Reissue independent claims over original patent
1205	2205	18	9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 144)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65			Surcharge - late filing fee or oath	
1052	2052	50	25			Surcharge - late provisional filing fee or cover sheet.	
1053	1053	130	130			Non-English specification	
1812	1812	2,520	2,520			For filing a request for <i>ex parte</i> reexamination	
1804	1804	920*	920*			Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*			Requesting publication of SIR after Examiner action	
1251	2251	110	55			Extension for reply within first month	
1252	2252	420	210			Extension for reply within second month	
1253	2253	950	475			Extension for reply within third month	
1254	2254	1,480	740			Extension for reply within fourth month	
1255	2255	2,010	1,005			Extension for reply within fifth month	
1401	2401	330	165			Notice of Appeal	
1402	2402	330	165			Filing a brief in support of an appeal	
1403	2403	290	145			Request for oral hearing	
1451	1451	1,510	1,510			Petition to institute a public use proceeding	
1452	2452	110	55			Petition to revive - unavoidable	
1453	2453	1,330	665			Petition to revive - unintentional	
1501	2501	1,330	665			Utility issue fee (or reissue)	
1502	2502	480	240			Design issue fee	
1503	2503	640	320			Plant issue fee	
1460	1460	130	130			Petitions to the Commissioner	
1807	1807	50	50			Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180			Submission of Information Disclosure Stmt	
8021	8021	40	40			Recording each patent assignment per property (times number of properties)	
1809	2809	770	385			Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	385			For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385			Request for Continued Examination (RCE)	
1802	1802	900	900			Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)

## SUBMITTED BY

## Complete (if applicable)

Name (Print/Type) Paul F. Prestia Registration No. Attorney/Agent 23,031 Telephone 610 407 0700  
Signature *Paul F. Prestia* Date December 29, 2003

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**

Applicant(s): David Tseng; Ellen Golds; and Bruce Parsons

Docket No.

BSI-230US1

Serial No.

Filing Date

Examiner

Group Art Unit

To be assigned

Herewith

Invention: INTRALUMINAL STENT

I hereby certify that the following correspondence:

U.S. Utility Patent Application (continuation) Transmittal; Fee Transmittal; Specification w/ formal drawings; Information Disclosure Statement w/ PTO 1449, and check

*(Identify type of correspondence)*

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Patent Application,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on December 29, 2003

Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)*  
*(Signature of Person Mailing Correspondence)*EL 985026796 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**